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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/396,266		
		Filing Date	September 15, 1999		
		First Named Inventor	Thomas H. Peterson		
		Group Art Unit	1713		
		Examiner Name	Not Assigned		
Total Number of Pages in This Submission		6	Attorney Docket Number	1998U007A.US	
ENCLOSURES (check all that apply)					
<input checked="" type="checkbox"/> Fee Transmittal Form		<input type="checkbox"/> Assignment Papers (for an Application)		<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Preliminary Amendment / Response		<input type="checkbox"/> Drawing(s)		<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> After Final Affidavits/declaration(s)		<input type="checkbox"/> Licensing-related Papers		<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input checked="" type="checkbox"/> Extension of Time Request		<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition		<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Express Abandonment Request		<input type="checkbox"/> To Convert a Provisional Application		<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Information Disclosure Statement		<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address		<input type="checkbox"/> Additional Enclosure(s) (please identify below):	
<input type="checkbox"/> Certified Copy of Priority Documents)		<input type="checkbox"/> Terminal Disclaimer			
<input checked="" type="checkbox"/> Response to Missing Part/ Incomplete Application		<input type="checkbox"/> Request for Refund			
<input checked="" type="checkbox"/> Response to Missing Parts					
REMARKS					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Or Individual name	Lisa Kimes Jones		Registration No.	41,878	
Signature					
Date	December 15, 1999				
CERTIFICATE OF MAILING					
I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: December 15, 1999					
Typed or printed name	Regina A. Tucker				
Signature			Date	December 15, 1999	

FEE TRANSMITTAL

Note: Effective November 10, 1998/
Patent fees are subject to annual revision.

Complete if Known

Application Number 09/396,266
Filing Date September 115, 1999
Inventors Thomas H. Peterson
Group Art Unit 1713
Examiner Name Unassigned
Attorney Docket Number 1998U007A.US

Total Amount of Payment (\$240.00)

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies, LLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101	Utility filing fee	760	
106	Design filing fee	310	
107	Plant filing fee	480	
108	Reissue filing fee	760	
114	Provisional filing fee	150	
SUBTOTAL (1)			

2. CLAIMS

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
Total Claims	- 20 =	18	
Independent Claims	- 3 =	78	
Multiple Dependent Claims	x 260.00 =		

Large Entity

Fee Code	Fee \$	Fee Description
103	18	Claims in excess of 20
102	78	Independent claims in excess of 3
104	260	Multiple dependent claim
109	78	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath	130.00
127	50	Surcharge - late provisional filing or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	110.00
116	380	Extension for reply within second month	
117	870	Extension for reply within third month	
118	1,360	Extension for reply within fourth month	
128	1,850	Extension for reply within fifth month	
119	300	Notice of Appeal	
120	300	Filing a brief in support of an appeal	
121	260	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,210	Petition to revive - unintentional	
142	1,210	Utility issue fee (or reissue)	
143	430	Design issue fee	
144	580	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
146	760	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	For each additional invention to be examiner (37 CFR 1.129(b))	
Other fee (specify) * Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			240.00

Typed or Printed Name

Lisa Kim Jones

Reg. No.

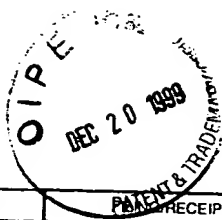
41,878

Signature

Date

December 15, 1999

Deposit Account User ID



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Patent Examination Division (703) 308-1202

BEST AVAILABLE COPY

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